

# On the coinductive nature of centralizers

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PPS & LIAFA — Université Paris 7

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# Commutation of words

In language theory, the solution of the **word equation**

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Consider the **language commutation** equation

$$X \cdot L = L \cdot X \quad (1)$$

It has solutions : since

$$\phi : X \mapsto (L^{-1} X) \cdot L \cup L \cdot (X L^{-1})$$

is order-preserving, it has **fixpoints** (Knaster-Tarski), which form a **complete lattice**.

The greatest fixpoint is called the **centralizer** of  $L$ , and is denoted  $\mathcal{C}(L)$ .

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## Theorem (Kunc 2006)

- *There exists a regular, star-free language whose centralizer is not recursively enumerable.*
- *There exists a finite language whose centralizer is not recursively enumerable.*

In this talk, we describe a **simpler variant** of Kunc's proof, and reveal an important key for understanding this theorem: centralizers are **coinductive**.

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## Centralizers, game-theoretically

There is a natural **interactive** intuition of centralizers. Consider a two-player collaborative game, starting on some word

$$u \in L$$

The first player appends a word  $x \in A^*$  as a prefix or a suffix, and reaches:

$$u \cdot x \in L \cdot A^*$$

Then the second player removes a prefix  $y \in A^*$  such that

$$y^{-1} u x \in L$$

and this word becomes the new position of the game, on which the first player appends again a prefix or a suffix, and so on.

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If the second player can always move, the set of words  $x, y, \dots$  picked by both players form a solution of the commutation equation

$$L \cdot X = X \cdot L$$

since:

### Proposition

*Given  $u, v \in L$ , suppose that  $u \cdot x = y \cdot v$ . Then  $x \in \mathcal{C}(L) \iff y \in \mathcal{C}(L)$ .*

So  $\mathcal{C}(L)$  is the maximal set of words which can be picked in successful (that is, infinite) plays of this game.

A more elaborate game-theoretic account of centralizers was given by Jeandel and Ollinger (2008).

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# Elements of Kunc's proof

The first step is to **encode the behaviour of a Turing-complete machine** in a centralizer.

However, we can **only build  $L$** ...

The point is to design  $L$  satisfying two dual purposes:

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- and by adding words for **restricting** the centralizer (in particular, it should not simulate more transitions)

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# Encoding Minsky machines

In his original proof, Kunc encodes Minsky machines, that is machines with:

- two counters storing integers,
- a finite number of states,
- increase/decrease operations over counters,
- and a conditional operation (does a counter store 0?)

and which are Turing-complete.

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**Increasing** the first counter is simulated in  $\mathcal{C}(L)$  as follows :

$$\begin{aligned} & a^{n+1} b \widehat{a}^{m+1} \widehat{d}_q^2 \in \mathcal{C}(L) \\ \iff & a^{n+2} b \widehat{a}^{m+1} \widehat{d}_{q'}^2 \in \mathcal{C}(L) \end{aligned}$$

thanks to the previous Proposition.

# Encoding Minsky machines

Indeed, start from

$$a^{n+1} b \widehat{a}^{m+1} \widehat{d}_q^2 \in A^*$$

Then

$$g_q \cdot a \cdot a^{n+1} b \widehat{a}^{m+1} \widehat{d}_q^2 \in L \cdot A^*$$

And

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So that, by the Proposition,

$$a^{n+1} b \widehat{a}^{m+1} \widehat{d}_q^2 \in \mathcal{C}(L) \iff g_q a^{n+2} b \widehat{a}^{m+1} \widehat{d}_q \in \mathcal{C}(L)$$

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Note that  $L$  is designed such that **only valid transitions** could be simulated in  $\mathcal{C}(L)$ .

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# Encoding Minsky machines: a summary

$$\begin{array}{l}
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 \iff g_q a^{n+2} b \hat{a}^{m+1} \hat{d}_q \in \mathcal{C}(L) \\
 \iff e_q f_q g_q a^{n+2} b \hat{a}^{m+1} \in \mathcal{C}(L) \\
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# Clockwise Turing machines

Kunc encodes similarly the other operations of Minsky machines: decreasing counters, testing, for each counter.

We found it easier to adapt the proof to encode **clockwise Turing machines**, which only have **one** possible transition.

They are due to Neary and Woods. Informally, they are a variant of Turing machines with

- one **circular** tape,
- a **clockwise**-moving head,
- and the possibility to output **two symbols** at once to extend the tape.

# Clockwise Turing machines

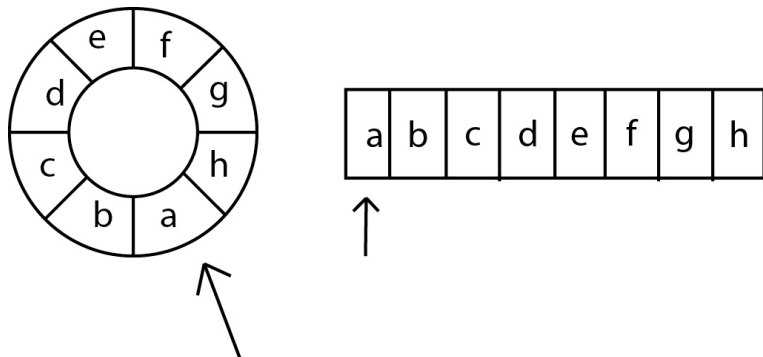
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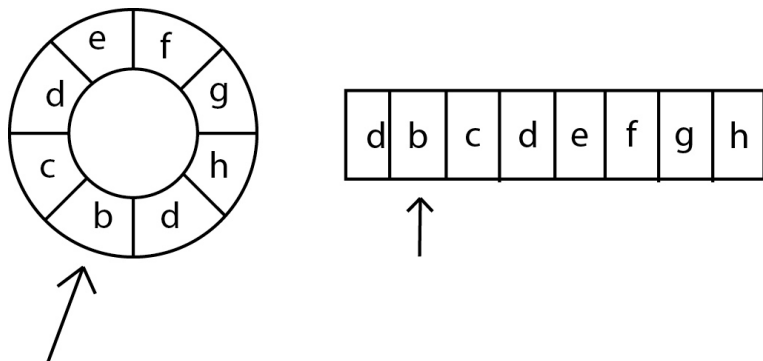
## Clockwise Turing machines vs. Turing machines



Suppose both machines are in state  $q$ , and the Turing machine reads  $a$ , writes  $d$  and moves tape to the right.

# Clockwise Turing machines vs. Turing machines

We obtain:



both in the new state  $q'$ .

# Clockwise Turing machines

Clockwise Turing machines simulate Turing machines.

And we can use Kunc's ideas to define  $L$  such that a transition

$$\delta(q, u_1) = (v, q')$$

when the circular tape contains  $u_1 \cdots u_n$  corresponds to:

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# Clockwise Turing machines

Clockwise Turing machines simulate Turing machines.

And we can use Kunc's ideas to define  $L$  such that a transition

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# Recursive enumerability

With this encoding, we intuitively get that centralizers can encode **recursively enumerable** languages.

But where does the **non-r.e.** comes from ?

The answer is that the **intuition is misleading**, because centralizers are **coinductive**.



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# Induction vs. coinduction

In an **inductive** construction, one starts from some **initial element** and iterates a **construction** over it.

In a **coinductive** construction, one starts from **all elements** and iteratively removes the ones which contradict some construction (or deduction) rule.

Note that these are **dual notions**, in the sense of category theory.

Also, the **game interpretation** we gave of centralizers is strongly related to **coinduction** and **self-justifying sets**.

Intuitively, if you can **play forever** (never be contradicted), you construct an element of a coinductive structure. Even if you play periodically the same finite play – this corresponds to self-justifying sets.

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# Induction vs. coinduction

**Inductive** constructions correspond to **least fixpoints**

$$\text{lfp}(f) = \bigvee_{i \in \mathbb{N}} f^i(\perp)$$

and **coinductive** ones to **greatest fixpoints**

$$\text{gfp}(f) = \bigwedge_{i \in \mathbb{N}} f^i(\top)$$

So that **centralizers are coinductive**. But what does it imply ?

## Induction vs. coinduction, a first example

Consider a function  $\phi : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$  such that

$$\phi(X) = X \cup \{n+2 \mid n \in X\} \cup \{0\}$$

Its **least fixpoint** (inductive) is given by iteration over  $\emptyset$ :

$$\phi(\emptyset) = \{0\}$$

$$\phi^2(\emptyset) = \{0, 2\}$$

$$\phi^3(\emptyset) = \{0, 2, 4\}$$

so that **lfp**( $\phi$ ) =  $2\mathbb{N}$ .

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Dually, **coinduction** proceeds by considering **all sets** of  $\mathcal{P}(\mathbb{N})$ , and removing the ones which could not have been obtained from  $\phi$ .

So  $\text{gfp}(\phi)$  still contains  $2\mathbb{N}$ , but it also contains  $\mathbb{N}$ :

$$\text{gfp}(\phi) = \{\mathbb{N}, 2\mathbb{N}\}$$

## Induction vs. coinduction, a second example

Consider now **configuration graphs** of (circular) Turing machine.

**Inductively**, such a graph would be built from **an initial configuration**, iterating the transitions of the machine.

The result is the graph of all configurations of a given calculus – if it terminates.

In this case, the final configuration it contains encodes a word of the **language of the machine**.

## Induction vs. coinduction, a second example

But **coinductively**, the graph is built starting from a graph

- whose vertices are **all configurations** of the machine (thus in infinite amount),
- and whose edge set is maximal.

Coinduction proceeds by "**iteratively**" (this is a transfinite process) **removing the edges** inconsistent with the machine's transitions.

The result is the whole configurations graph of the (circular) Turing machine.

It may have many connected components, some of which correspond to actual computations (they contain an initial configuration).

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# The coinductive nature of centralizers

So, for the language  $L$  we designed from Kunc's ideas and constructions, we obtain  $\mathcal{C}(L)$  representing the whole configurations graph of a circular Turing machine.

Note that all words simulating transitions belong to  $\mathcal{C}(L)$ , because they form self-justifying sets.

In other terms, both players can play chains of equivalences infinitely, by going back and forth.

# The coinductive nature of centralizers

The next step is to **extend the restricting power of  $L$**  in order to exclude the encodings of initial configurations from it.

Coinductively, this **removes all the connected components** containing an initial configurations, that is, all valid computations of the machine from the graph.

Every encoding of a final configuration in the centralizer is thus unreachable by a machine's calculus.

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# The coinductive nature of centralizers

Considering a machine whose language is r.e. but not co-r.e., as a **universal machine** for instance, we obtain the first part of Kunc's theorem.

Note that there are **universal circular Turing machines**.

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# Centralizers of finite languages

Recall the second part of the Theorem: **L can be finite.**

So far, the language we built is star-free – yet defined with stars.

It consists on a **finite amount** of interaction words:  $f_{u,q} g_{u,q}, \hat{d}_q, \dots$  used for simulating transitions, and of an **infinite amount** of restriction words, designed to restrict the centralizer to actual simulations of transitions.

Informally, they ensure that if you remove more than you should, then you have to remove so much that you will eventually "lose the game".

$$e_q f_q g_q a^{n+2} b \hat{a}^{m+1}$$

Kunc gives a manner to "**cut the stars**" into **finite words**, while "forcing the players to respect them in their plays".

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# Centralizers of finite languages

This gives a **finite language  $L$** , obtained from the star-free language one. However, it requires a huge number of impossibility words.

The main reason for us to use a circular Turing machine – and not a Minsky machine – was in fact to **estimate the cardinality of this finite language**.

For the smallest universal Turing machine we know (4 states over a 4-symbol alphabet), it is about  $10^{21}$  words; almost all of them are restriction words.



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# Conclusion

We sketched a variant of Kunc's proof, which has three strengths:

- **Only one kind of transition** has to be considered, unlike for Minsky machines (or usual Turing ones)
- The notion of **self-justifying set** avoids a huge part of the proof (checking that the words encoding configurations where a transition is defined effectively belong to  $\mathcal{C}(L)$ )
- **Cardinality** of  $L$  can be estimated more accurately in the finite case.

Moreover, we revealed the **coinductive nature** of centralizers, which explains how they can compute "more than a machine" while simulating its transitions.

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